

Sector #  
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PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Redmond, et al.

Serial No.: 09/540,657

Filed: March 31, 2000

Atty. File No.: 4154-9-CIP

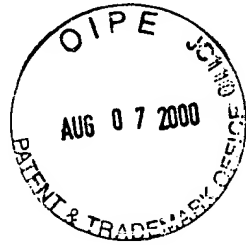
For: LOW PROFILE OPTICAL HEAD

Assistant Commissioner For Patents

Box Missing Parts

Washington, D.C. 20231

Dear Sir:



) Group Art Unit: 2753

) Examiner:

) SUBMISSION OF MISSING  
) PARTS

) "EXPRESS MAIL" MAILING LABEL NUMBER: EL617198224US  
) DATE OF DEPOSIT: August 3, 2000

) I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
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) UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS  
) ADDRESSED TO THE ASSISTANT COMMISSIONER FOR  
) PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Maisie C. Livengood

SIGNATURE: Maisie C. Livengood

In response to the Notice to File Missing Parts dated June 5, 2000, in connection with the above-identified application, enclosed herewith please find a Declaration, Power of Attorney, Small Entity Declaration, and Certificate Under 27 CFR 3.73(b). Please note that the Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

Also enclosed please find a check for \$608 which includes payment of the filing fee in the amount of \$ 345 and \$198 for 22 claims over 20 reflecting small entity status, and the amount of \$65.00 payment of the surcharge. Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970. A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Richard L. Hughes

Richard L. Hughes  
Registration No. 31,264  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: Aug 3 2000  
M:\4154\9\c\SUB\missing parts.wpd

## FORMALITIES LETTER



\*OC000000005155476\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/540,657         | 03/31/2000          | Ian Redmond           | 4154-9-CIP             |

Richard L Hughes  
Sheridan Ross PC  
1560 Broadway  
Suite 1200  
Denver, CO 80202-5141



Date Mailed: 06/05/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)


*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$396.  
■ \$396 for 22 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1216.**

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/10/2000 EHAMMOND 00000037 09540657

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| 01 FC:201 | 345.00 OP |
| 02 FC:203 | 198.00 OP |
| 03 FC:205 | 65.00 OP  |